



Date: January 07, 2021
Current Meeting: January 21, 2021
Board Meeting: January 28, 2021

BOARD MEMORANDUM

TO: Indianapolis Public Transportation Corporation Board of Directors
THROUGH: President/CEO Inez P. Evans
FROM: Deputy of Governance & Audit Brain Atkinson
SUBJECT: Consideration and Approval of Governance & Audit Compliance Assessment

ACTION ITEM A – 13

RECOMMENDATION:

Review and receive Governance & Audit Report 2020-11

- Compliance Assessment

BACKGROUND:

The FY 2020 Internal Audit Work Plan approved by the Governance and Audit Committee included an assessment of IndyGo's Compliance Process. The objective in assessing IndyGo's Compliance function was to understand the people and processes currently in place to fulfill its mission within the agency.

DISCUSSION:

IndyGo's Compliance function is presently comprised of one FTE, the Director of Compliance and Civil Rights, who is also an attorney who reports to IndyGo's General Counsel. An additional FTE is budgeted for 2021, and a search is presently underway.

After initial discussions with Compliance leadership, we concluded that it made the most sense to limit the assessment's scope specifically to compliance with Federal Transit Administration (FTA) standards considering the ongoing agencywide preparation supporting the forthcoming FTA triennial review.

As a result of our assessment activities, we have noted the potential opportunity for significant streamlining to what is currently a time-consuming process which is detailed in the attached Report No. 2020-11

ALTERNATIVES:

N/A

FISCAL IMPACT:

No Fiscal Impact

DBE DECLARATION:

N/A

STANDING COMMITTEE DISCUSSION/RECOMMENDATION:

This action will be reviewed by the Governance & Audit Committee on January 21, 2021.